

COPY OF PAPERS
ORIGINALLY FILED

Please type a plus sign (+) inside this box → [+]

Approved for use through 10/31/2002 OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


**TRANSMITTAL
FORM**
*(To be used for all correspondence
after initial filing)*

Application Number	10/002,603
Filing Date	October 30, 2001
First Named Inventor	Alexander Gaiger
Group Art Unit	1644
Examiner Name	
Attorney Docket No.	210121.465C6

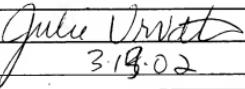
P#5

RECEIVED

RECEIVED
TECH CENTER 1600/2000
APR 24, 2002

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> CD(s), Number of CD(s) _____
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement; Form PTO-1449	<input type="checkbox"/> Declaration	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Cited Reference (1)	<input type="checkbox"/> Statement under 37 CFR 3.73(b)	<input type="checkbox"/> Copy of International Search Report for PCT/US01/31139
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/>
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/>
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request for Refund	<input type="checkbox"/>

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	Julie A. Urvater, Ph.D. 50,461	 00500 <small>PATENT TRADEMARK OFFICE</small>
Signature		
Date	3-18-02	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
Typed or printed name	Monica Steinborn	
Signature		Date: 3/14/02



PATENT

TECH CENTER 1600/2900

RECEIVED

MAR 2 8 2002

RECEIVED

APR 2 4 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Alexander Gaiger et al.
Application No. : 10/002,603
Filed : October 30, 2001
For : COMPOSITIONS AND METHODS FOR WT1 SPECIFIC
IMMUNOTHERAPY
Art Unit : 1644
Docket No. : 210121.465C6

Commissioner for Patents
Washington, DC 20231

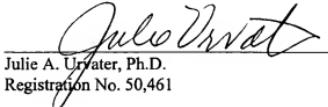
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the reference set forth on the attached Form PTO-1449 (a copy of the cited reference, as required under 37 C.F.R. § 1.98, is enclosed). The document on the attached Form PTO-1449 was cited in an International Search Report for corresponding foreign application PCT/US01/31139, mailed February 13, 2002, a copy of which is enclosed. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid reference is made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC


Julie A. Urvater, Ph.D.
Registration No. 50,461

JAU:mls

Enclosures:

- Postcard
- Form PTO-1449
- Copies of Cited Reference (1)
- Copy of International Search Report for PCT/US01/31139

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

COPY OF PAPERS
ORIGINALLY FILED

Sheet 1 of 1

FORM PTO-149
(REV.7-80)MAR 25 2002
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICESUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
210121.465C6APPLICATION NO.
10/002,603APPLICANTS
Alexander Gaiger et al.FILING DATE
October 30, 2001GROUP ART UNIT
1644

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						

RECEIVED

MAR 28 2002

TECH CENTER 1600/2900

TECH CENTER 1600/2900

APR 24 2002

RECEIVED

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO
AK	WO 00/18795	04/06/00	WIPO	
AL				
AM				
AN				
AO				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP		
AQ		
AR		

EXAMINER	DATE CONSIDERED
----------	-----------------

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Date: